## REQUIREMENTS FOR REACTIVATION/STATUS CHANGE BOND WAIVER/ CONVERSION - CONTRACTORS

OR

Mail required documents to:
CONTRACTORS LICENSE BOARD
DCCA, PVL Licensing Division
P. O. Box 3469
Honolulu, HI 96801

Deliver to office location at: 1010 Richards St., 1st Floor Honolulu, HI 96813 Phone: (808) 586-3000 Toll free voice access numbers for the neighbor islands: Molokai & Lanai: 1-800-468-4644 ext. 6-3000

Hawaii: 974-4000 ext. 6-3000 Maui: 984-2400 ext.6-3000 Kauai: 274-3141 ext. 6-3000

Access this form via website at: www.state.hi.us/dcca/pvl

### NO APPLICATION/FEES REQUIRED FOR THE FOLLOWING:

Changing from "Active" status to "Inactive" status or from "Conditional" status to "Unconditional" status

Submit a letter requesting that your license be placed on "Inactive" or "Unconditional" status. Letter must include mailing address and license number.

Note: Entity's insurance status must be current to place license on "inactive".

Additional documentation may be required for conversion to unconditional status.

ENTITY with current license appointing a New RME or Additional RME with current license

Confirmation of the change in status is required.

- Letter from entity stating name of new or additional RME.
- 2. Letter from RME stating change from one entity to another.
- 3. A signed "Principal RME Designation" form (available at board's office), if applicable.

#### Please note:

- 1. If an entity is not licensed in Hawaii, a new application must be filed.
- 2. If the RME is not licensed in Hawaii, a new application must be filed.
- 3. If both the entity and RME do not hold the same classifications, then an "Application for Additional Classification" must be filed by the entity.
- If a sole owner changes to another entity (Corporation, Partnership, J/V, LLC, LLP), a new application must be filed. The sole owner's status will change to Responsible Managing Employee.

RME changing entity affiliation or presently a Sole Owner going to be a RME Refer to same requirements as ENTITY appointing a new RME.

### APPLICATION/FEES ARE REQUIRED FOR THE FOLLOWING:

### Conversion to another entity

- \$50 fee and complete application (CT-15).
- 2. A "file-stamped" copy of the Articles of Organization for the new entity filed with the Business Registration Division of the Department of Commerce and Consumer Affairs (BREG).
- 3. Copy of Certificate of Conversion issued by BREG.
- 4. Rider or new certificate of liability and worker's compensation insurance.
- 5. If bond is required Rider or new bond to reflect new name.

Presently Active and Reactivating C-19 Asbestos Class (RME & Sole Owner only)

- 1. \$50 fee and completing a application (CT-15).
- 2. Submit proof of completing a current EPA-approved asbestos 8 hour refresher training course.

The following actions require board approval and must be received in the board's Honolulu office on or before the 20th day of the month prior to the scheduled meeting date. The board is scheduled to meet once a month, except for the month of December. Make checks payable to Commerce & Consumer Affairs.

# Presently Inactive and will be reactivating as RME

- \$50 fee and complete application (CT-15).
- Letter from entity stating that he/she will be their RME. (If the entity is not licensed in Hawaii, a new application must be filed.)
- Credit report covering prior 5 year history. Issue date of credit report must be within 6
  months of reactivation request date. (If RME inactive for less than 60 day period, no credit
  report is required)
- 4. If you hold a C-19 Asbestos classification, submit proof of current EPA asbestos refresher training course.

(CONTINUED ON BACK)

CT-19 0902R

# Presently a RME and Changing to Sole Owner

- 1. \$50 fee and complete application (CT-15).
- 2. Letter from RME verifying dissociation from contracting entity.
- Financial statement (not more than a year old) prepared and signed by a registered or certified
  accountant holding a current permit to practice. If licensed in another state, provide a copy of
  license
- 4. A current Hawaii State Tax Clearance, (not more than 6 months old) with an original State Department of Taxation stamp.
- 5. Credit report covering prior 5 year history. Issue date of credit report must be within 6 months of reactivation request date.

# Presently Inactive and will be Reactivating as Sole Owner

- 1. \$50 fee and complete application (CT-15).
- Financial statement (not more than a year old) prepared and signed by a registered or certified accountant holding a current permit to practice. If licensed in another state, provide a copy of license.
- 3. A current Hawaii State Tax Clearance, (not more than 6 months old) with an original State Department of Taxation stamp.
- 4. Credit report covering prior 5 year history. Issue date of credit report must be within 6 months of reactivation request date.
- 5. If you hold a *C-19* Asbestos classification, submit proof of current EPA asbestos refresher training course.

### Presently Inactive Corporation, Partnership, Joint Venture, Limited Liability Company or Limited Liability Partnership and will be Reactivating

- 1. \$50 fee and complete application (CT-15).
- Financial statement (not more than a year old) prepared and signed by a registered or certified accountant holding a current permit to practice. If licensed in another state, provide a copy of license.
- 3. A current Hawaii State Tax Clearance, (not more than 6 months old) with an original State Department of Taxation stamp.
- 4. Credit report of officers/partners/managers/members and RME covering prior 5 year history. Issue date of credit report must be within 6 months of reactivation request date.
- 5. Letter from RME stating status change. (If not licensed in Hawaii, a new application must be filed.)
- Certificate of Good Standing for business entity which can be obtained from the Department of Commerce and Consumer Affairs, Business Registration Division (phone: (808) 586-2727).

Presently Active Specialty and Reactivating "A" General Engineering or "B" General Building Class(es) (Entities & Sole Owner only)

- 1. \$50 fee and complete application (CT-15).
- 2. Submit financial statement (not more than a year old) prepared and signed by a registered or certified accountant holding a current permit to practice. If licensed in another state, provide a copy of license.

#### **Bond Waiver**

- 1. \$25 fee and complete application (CT-15).
- Financial statement (not more than a year old) prepared and signed by a registered or certified
  accountant holding a current permit to practice. If licensed in another state, provide a copy of
  license.

### Note: Upon approval by the board, the following may be due:

Evidence of liability-property damage insurance Evidence of workers compensation insurance Applicable fees

Business address

Trade name registration

A signed "Principal RME Designation" form (available at board's office) and, if applicable other items that may be required by the board

| APPLICATION FOR REACTIVATION, STATUS CHANGE,<br>BOND WAIVER, CONVERSION - CONTRACTOR  |                  |                         |                                 | Lic. No.                        | Eff. Date                      |  |  |  |
|---|------------------|-------------------------|---------------------------------|---------------------------------|--------------------------------|--|--|--|
| (Read attached instructions)  |                  |                         |                                 | CLASS(ES):                      |                                |  |  |  |
| Name of Applicant   |                  |                         | -                               | CLASS(ES).                      |                                |  |  |  |
|   |                  |                         | ONLY                            |                                 |                                |  |  |  |
| Trade Name (if any)   |                  |                         | USE OF                          |                                 |                                |  |  |  |
| Business/Residence address (include apt. no., city, state & zip code)   |                  |                         | OR BOARD USE                    |                                 |                                |  |  |  |
| Mailing address (if different from above)   |                  |                         | 요                               |                                 |                                |  |  |  |
|   |                  |                         |                                 |                                 |                                |  |  |  |
| Social Security No.   | Phone No. (days) |                         | -                               |                                 |                                |  |  |  |
| Check only one:   |                  | License No. He          | ). Held:                        |                                 |                                |  |  |  |
| <ul><li>( ) Individual (sole owner)</li><li>( ) Corporation</li></ul>   |                  | Classification(s        | Classification(s) Held:         |                                 |                                |  |  |  |
| ( ) Partnership<br>( ) Joint Venture (J/V)  |                  | Classification(s)       | Classification(s) Reactivating: |                                 |                                |  |  |  |
| ( ) Limited Liability Company (LLC)   |                  |                         |                                 |                                 |                                |  |  |  |
| <ul><li>( ) Limited Liability Partnership (LLP)</li><li>( ) Responsible Managing Employee (F</li></ul>  | RME)             |                         |                                 |                                 |                                |  |  |  |
| Application is being made to: (check one)   | ·                |                         |                                 |                                 |                                |  |  |  |
| ( ) REACTIVATE CLASS ( ) REACTIVATE | , ,              | If applicant is R       | ( )<br>espons                   | BOND WAIVER ible Managing Emplo | yee (RME), name of             |  |  |  |
| name of RME:  |                  | employing firm:         |                                 |                                 |                                |  |  |  |
| License No.:  |                  | License No.:            | icense No.:                     |                                 |                                |  |  |  |
| Complete only if applicant is reactivating a lic directors, managers or members and title. (No  |                  |                         |                                 | V, LLC, or LLP. List            | name(s) of owner, officers,    |  |  |  |
| 1.  |                  |                         |                                 |                                 |                                |  |  |  |
| 2.  |                  |                         |                                 |                                 |                                |  |  |  |
| 3.  |                  |                         |                                 |                                 |                                |  |  |  |
| 4   |                  |                         |                                 |                                 |                                |  |  |  |
| 5   |                  |                         |                                 |                                 |                                |  |  |  |
| 6   |                  |                         |                                 |                                 |                                |  |  |  |
|   |                  |                         | . 444 1                         | Investi Davida ed Otate         |                                |  |  |  |
| The undersigned hereby applies for li-<br>and accuracy of all statements, answers and re  |                  |                         |                                 |                                 |                                |  |  |  |
| I hereby certify that the information misrepresentation is grounds for refusal or subs  |                  |                         |                                 |                                 |                                |  |  |  |
|   | _                | Applicant's Signature   |                                 |                                 |                                |  |  |  |
| This material can be made available for individuals wit   | th.              |                         |                                 | - Francis o organical           |                                |  |  |  |
| special needs. Please call the Licensing Branch Mar at (808) 586-3000 to submit your request.   |                  | Titl                    | le                              |                                 | Date                           |  |  |  |
| at (200) and add to add. It foul request.   |                  | 5\$25/\$5<br> 1\$150/\$ |                                 |                                 | 908 \$150/\$10<br>909 \$10/\$5 |  |  |  |
| CT-15 0902R   | Service Fee B0   |                         |                                 | <u> </u>                        | ψ10/ψ0                         |  |  |  |

CT-15 0902R

### **CONTRACTORS FINANCIAL STATEMENT**

(Prescribed Form)

| Name of Applicant (owner, corporation, etc.):  |                              | , 20 (not more than one year old) is lor.  |                        |              |  |  |  |
|--|------------------------------|--|------------------------|--------------|--|--|--|
| Trade Name, if any (dba):  |                              |  |                        |              |  |  |  |
| Address:   |                              |  |                        |              |  |  |  |
| Addiess.   |                              |  |                        |              |  |  |  |
| ASSETS:  |                              | LIAB   | BILITIES:              |              |  |  |  |
| CURRENT ASSETS:  |                              | CURRENT LIABILITIES:   |                        |              |  |  |  |
| Cash (include checking   |                              | Notes payable (due within one year):   |                        |              |  |  |  |
| account) <u>\$</u>   |                              | To banks regular \$  |                        |              |  |  |  |
| Savings account  |                              | To material men  |                        |              |  |  |  |
| Time certificates  |                              | To other (exclusive of   |                        |              |  |  |  |
| (within 1 year)  |                              | Equipment)   |                        |              |  |  |  |
| Deposit with bids  |                              | TOTAL NOTES PAYABLE  | <u>\$</u>              |              |  |  |  |
| TOTAL CASH\$   | <del></del>                  | Account payable:   |                        |              |  |  |  |
| Accounts receivable (completed   |                              | Subcontractors   | <u>\$</u>              |              |  |  |  |
| contracts)   |                              | Material men   |                        |              |  |  |  |
| Earned estimated and retainage   |                              | Others   |                        |              |  |  |  |
| (uncompleted contracts)  |                              | TOTAL ACCOUNTS PAYABLE   | <u>\$</u>              |              |  |  |  |
| Other accounts receivable  |                              | Current maturities (long-term debt)  | \$                     | <u> </u>     |  |  |  |
| Work in progress (unbilled)  | <del></del>                  | Accrued payrolls   |                        |              |  |  |  |
| Notes receivable   |                              | Federal and state income tax   |                        |              |  |  |  |
| Stocks and bonds   | <del></del>                  | Payroll taxes (including F.I.C.A.  |                        |              |  |  |  |
| Life insurance (cash value)  | <del></del>                  | S.U.I. and income taxes withheld)  |                        |              |  |  |  |
| Other current assets   | <del></del>                  | Other accrued taxes, interest, etc.  |                        |              |  |  |  |
| TOTAL CURRENT ASSETS   | <u>\$</u>                    | Encumbrances on equipment (due   |                        |              |  |  |  |
| OTHER ASSETS:  |                              | within 1 year)   |                        |              |  |  |  |
| Material in stock (not included  |                              | OTHER CURRENT LIABILITIES (specify):   |                        |              |  |  |  |
| in any items above)\$  |                              |  |                        |              |  |  |  |
| inventory or other materials   |                              |  |                        |              |  |  |  |
| Other assets   |                              | TOTAL CURRENT LIABILITIES  |                        | <u>\$</u>    |  |  |  |
| TOTAL OTHER ASSETS   | <u>\$</u>                    | LONG-TERM LIABILITIES:   |                        |              |  |  |  |
| FIXED ASSETS:  |                              | Long-term debt (less portion   |                        |              |  |  |  |
| Equipment at net book value\$  |                              | due within one year)   | <u>\$</u>              |              |  |  |  |
| Real estate  |                              | Encumbrances on equipment  |                        |              |  |  |  |
| Furniture and fixtures at net  |                              | (due after 1 year)   |                        |              |  |  |  |
| book value   |                              | Encumbrances on real estate  |                        |              |  |  |  |
| Tools  |                              | Billings in excess of cost on  |                        |              |  |  |  |
| Other fixed assets   |                              | uncompleted contracts  |                        |              |  |  |  |
| TOTAL FIXED ASSETS   | <u>\$</u>                    | Other long-term liabilities (specify):   |                        |              |  |  |  |
|  |                              |  |                        |              |  |  |  |
|  |                              |  |                        |              |  |  |  |
|  |                              | TOTAL LONG-TERM LIABILITIES  |                        | <u>\$</u>    |  |  |  |
|  |                              | TOTAL LIABILITIES  |                        | <u>\$</u>    |  |  |  |
|  |                              |  | WORTH:                 |              |  |  |  |
|  |                              | Capital stock (if corporation, show  |                        |              |  |  |  |
|  |                              | shares authorized, issued-par value)   | \$                     |              |  |  |  |
|  |                              | Surplus  |                        |              |  |  |  |
|  |                              | TOTAL NET WORTH  |                        | _            |  |  |  |
| TOTAL ASSETS   | \$                           | TOTAL LIABILITIES AND NET WORTH  |                        |              |  |  |  |
|  | <del>-</del>                 |  |                        | · <u>·</u> · |  |  |  |
| This statement is I hereby certify as owner, officer, partner, manager, member or R.M.E. the revocation of license (Sec. 710-1017, Hawaii Revised Statutes). | hat the statements contained |  | t misrepresentation is |              |  |  |  |
|  |                              | LICANT:  |                        |              |  |  |  |
|  |                              | nt, etc.):   |                        |              |  |  |  |
| In the opinion of the undersigned, the above statement fairly presents, on the   |                              |  |                        |              |  |  |  |
| SIGNATURE OF   |                              | The state of the s |                        | r            |  |  |  |
|  | LICENSE NUMBER               |  |                        |              |  |  |  |
| NT NAME: STATE   |                              |  |                        |              |  |  |  |
|  |                              |  |                        | -            |  |  |  |

SHALL BE PREPARED AND SIGNED BY A REGISTERED PUBLIC ACCOUNTANT OR CERTIFIED PUBLIC ACCOUNTANT HOLDING A CURRENT PERMIT TO PRACTICE. (IF LICENSED IN ANOTHER STATE, PROVIDE COPY OF A CURRENT LICENSE)